Page 1\_of 2

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/087,638

Four Embarcadero Center

San Francisco, CA 94111-4156

Mark E. Miller, Esq.

Fourth Floor

03/01/2002

Anthony C. Bonora

ASYS8173US1 MEM/SDS

**CONFIRMATION NO. 3741** 

RECEIVED

FLIESLER DUBB MEYER & LOVEJOY LLP

OC000000007824458\*

FORMALITIES LETTER

APR 1 2 2002

FLIESLER DUBB. MEYER & LOVEJOY

Date Mailed: 04/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/19/2002 HGEBREH1 00000004 10087638

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130,00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

/	OIPE	<i>ই</i>
B.	JUN 1 3 2002	PERICE 6
B	TO TRADEMAR	5

Please type a plus sign (+) inside this box -> +

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITT	4L
FORM	

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 16

Application Number 10/087,638

Filing Date March 1, 2002

First Named Inventor Anthony C. Bonora

Group Art Unit 2835

Examiner Name Unknown

Attorney Docket Number 34741-752

ENCLOSURES (check all that apply)						
Fee Transmit	ttal Form	Assignmen (for an App			After Allowance Communication to Group	
Fee A	ttached	Drawing(s	)		Appeal Communication to Board of Appeals and Interferences	
Amendment	t / Response	Licensing-	-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After	r Final		outing Slip (PTO/SB/69) mpanying Petition		Proprietary Information	
Affid	avits/declaration(s)		Convert to a al Application		Status Letter	
Extension of	f Time Request		Attorney, Revocation f Correspondence	X	Additional Enclosure(s) (please identify below):	
Express Aba	andonment Request	$\equiv$	Disclaimer tity Statement		Declaration of Patent Application	
Information	Disclosure Statement		for Refund			
Certified Copy of Priority Document(s)		Remarks				
	to Missing Parts/ Application					
Part	ponse to Missing s under 37 CFR or 1.53					
	SIGNATU	RE OF APPLIC	ANT, ATTORNEY, OR	AGE	NT	
Firm or Individual name	**		1,170, O'Melveny & M			
Signature	hat Cal					
Date	June 7, 20	02				

	CERTIFICATE OF MA	AILING		
I hereby certify that this envelope addressed to:	correspondence is being deposited with the Un Assistant Commissioner for Patents, Washingt	nited States Posta	I Service as <u>first class mai</u> n this date: June 7, 2002	l in an
Typed or printed name	Scott D. Sanford, Reg. No. 51,170			
Signature	hat has	Date	June 7, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JUN 1 3 2002 JUN 1

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## FEETRÄNSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 170

Complete if Known				
Application Number	10/087,638			
Filing Date	March 1, 2002			
First Named Inventor	Anthony C. Bonora			
Examiner Name	Unknown			
Group Art Unit	2835			
Attorney Docket No.	34741-752			

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
Check Credit card Money Other None					i			AL FE		
Deposit Account:							II Entit	<del></del>	5 D-!J	
Deposit	50.062	0			Fee Code	Fee	Fee Cod	Fee e (\$)	Fee Description	Fee Paid
Account Number	50-063	<del>9</del>			105	130	205	65	Surcharge - late filing fee or oath	130
Deposit Account Name	O'Mel	veny &	Myers LLP		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
			to: (check all th		139	130	139	130	Non-English specification	
Charge fee(				any overpayments	147	2,520	147	2,520	For filing a request for ex parte reexamination	
				cy of this application	112	920*	112	920°	Requesting publication of SIR prior to	
			except for the f	iling fee					Examiner action	
to the above id				***************************************	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
			ULATION		115	110	215	55	Extension for reply within first month	
1. BASIC FI					116	400	216	200	Extension for reply within second month	
Large Entity Fee Fee	Small Ent	<u>tity</u> e Fee	Description		117	920	217	460	Extension for reply within third month	
Code (\$)	Code (\$)	)	•	Fee Paid	118	1,440	218	720	Extension for reply within fourth month	
101 740	201 370		lity filing fee		L	1,960	i	980	Extension for reply within fifth month	
106 330	206 165		sign filing fee		119	320	219	160	Notice of Appeal	
107 510	207 255		int filing fee		120	320	220	160	Filing a brief in support of an appeal	
108 740	208 370		issue filing fee		121	280	221	140	Request for oral hearing	
114 160	214 80	) Pro	ovisional filing fe	e		1,510		1,510	Petition to institute a public use proceeding	
		SUB <sup>*</sup>	TOTAL (1)	(\$)	140	110	240	55	Petition to revive - unavoidable	
2. EXTRA C	CLAIM F	EES FO	OR UTILITY	AND REISSUE	141	1,280	241	640	Petition to revive - unintentional	
			Fe	e from selow Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)	
Total Claims		-20** = [	× [	= 0	143	460	243	230	Design issue fee	
Independent Claims		- 3** = [	×	= 0	144	620	244	310	Plant issue fee	<u> </u>
Multiple Deper	ndent	•		= 0	122	130	122	130	Petitions to the Commissioner	
					123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Large Entity				_	126	180	126	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$) 103 18	Fee F Code (	(\$)	Fee Description  laims in excess	_	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
103 18 102 84				ns in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280	204 1			nt claim, if not paid	149	740	249	370	For each additional invention to be	
109 84	209 4	<b>42</b> **	Reissue indepe over original pa						examined (37 CFR § 1.129(b))	
110 18	210	9 **		s in excess of 20	179	740	279	370	Request for Continued Examination (RCE)	
	•		and over origina	ai parent	169	900	169	900	Request for expedited examination of a design application	
		SUBTO <sup>-</sup>	TAI (2)	(\$) 0	Othe	r fee (s	pecify	/)		
**or numbe			• •	ssues, see above	*Red	luced b	y Bas	sic Filinç	g Fee Paid SUBTOTAL (3)	170
3	F	, ,,								

SUBMITTED BY				Complete (if ap	plicable)
Name (Print/Type)	Scott D. Sanford	Registration No. (Attorney/Agent)	51,170	Telephone	415.984.8700
Signature	hoff to bal			Date	June 7, 2002

JUN 1 3 2002 WO

Please type a plus sign (+) inside this box -> +

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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262

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/087,638

Filing Date March 1, 2002

First Named Inventor Anthony C. Bonora

Group Art Unit 2835

Examiner Name Unknown

Attorney Docket Number 34741-752

ENCLOSURES (check all that apply)						
Fee Transmittal Fo	orm [		ent Papers oplication)		After Allowance Communication to Group	
Fee Attache	ed [	Drawing(	s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Res	ponse	Licensing	g-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition F and Acco	Routing Slip (PTO/SB/69) ompanying Petition		Proprietary Information	
Affidavits/d	declaration(s)		o Convert to a al Application		Status Letter	
Extension of Time	Request		Attorney, Revocation of Correspondence	X	Additional Enclosure(s) (please identify below):	
Express Abandon			Disclaimer tity Statement	:	Petition to Expunge Cited References: US 6,261,044 US 6,188,323 US 09/115,414 US6,138,721 US10/087,400	
		Request	for Refund		US10/087,092 US09/729,463	
Certified Copy of Priority Document(s)		Remarks				
Response to Miss Incomplete Applic						
Response Parts unde 1.52 or 1.5	er 37 CFR					
	SIGNATUR	RE OF APPLIC	ANT, ATTORNEY, OR	AGEI	NT	
Firm or Individual name	Scott D. Sanfo	ord, Reg. No	51,170, O'Melveny & M	yers l	LLP	
Signature	but h	DV				
Date	June 7, 2002	2				

CERTIF	ICATE OF	MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as	first class mail in an
envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:	June 7, 2002
envelope addressed to: Assistant Commissioner for Patents, washington, b.c. 2020 for this date.	June 7, 2002

Typed or printed name Scott D. Sanford Reg. No. 51,170
Signature

\_\_\_\_

Date

June 7, 2002

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PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to	U.S. Patent and Tradema	red for use through 10/31/2002. OMB 0651-00 ark Office; U.S. DEPARTMENT OF COMMER( on unless it displays a valid OMB control numb
		ete if Known
FEE TRANSMITTAL	Application Number	10/087,638
	Filing Date	March 1, 2002
for FY 2002		Anthony C. Bonora

Filing Date
Filing Date
First Named Inventor
First Named Inventor

Examiner Name
Unknown

Group Art Unit

TOTAL AMOUNT OF PAYMENT

(\$) 130

Attorney Docket No.

Anthony C. Bonora

Unknown

Anthony C. Bonora

Examiner Name
Unknown

34741-752

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)	
Check Credit card Money Other None	3. ADDITIONAL FEES	
Order — —	Large Entity Small Entity	
Deposit Account:  Deposit Account 50-0639	Fee Fee Fee Fee Fee Description F	Fee Paid
Account Number 50-0639	105 130 205 65 Surcharge - late filing fee or oath	
Deposit Account Name O'Melveny & Myers LLP	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	139 130 139 130 Non-English specification	
Charge fee(s) indicated below Credit any overpayments	147 2 520 147 2 520 For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	112 920* 112 920* Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	Examiner action	
to the above identified deposit account.	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
FEE CALCULATION	115 110 215 55 Extension for reply within first month	
1. BASIC FILING FEE	116 400 216 200 Extension for reply within second month	
Large Entity   Small Entity Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month	
Code (\$) Code (\$)	118 1,440 218 720 Extension for reply within fourth month	
101 740 201 370 Utility filing fee	128 1,960 228 980 Extension for reply within fifth month	
106 330 206 165 Design filing fee	119 320 219 160 Notice of Appeal	
107 510 207 255 Plant filing fee	120 320 220 160 Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121 280 221 140 Request for oral hearing	
114 160 214 80 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	140 110 240 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141 1,280 241 640 Petition to revive - unintentional	
Fee from Extra Claims below Fee Pald		
Total Claims -20** = X = 0	143 460 243 230 Design issue fee	
Independent - 3** = X = 0	144 620 244 310 Plant issue fee	130
Multiple Dependent = 0	122 130 122 130 Petitions to the Commissioner	130
l	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity   Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	
100 10 10 10 10 10 10 10 10 10 10 10 10	146 740 246 370 Filling a submission after final rejection	
	(37 CFR § 1.129(a))	
109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
over original patent  110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0	Other fee (specify)	
**or number previously paid, if greater: For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	130

SUBMITTED BY	Λ			Complete (if applicable)		
Name (Print/Type)	/ Scott D. Sanford	Registration No. (Attorney/Agent)	51,170	Telephone	415.984.8700	
Signature	hat had	(Audino)/Agenty		Date	June 7, 2002	